

# Testing Lorentz Invariance using Atmospheric Neutrinos and AMANDA-II

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## 1 Abstract

2 Several phenomenological models of physics beyond the Standard Model predict flavor  
3 mixing in the neutrino sector in addition to conventional mass-induced oscillations. In  
4 particular, violation of Lorentz invariance (VLI) results in neutrino oscillation effects  
5 parametrized by the maximal attainable velocity difference  $\delta c/c$ . We report on a study of  
6 the sensitivity of the AMANDA-II detector to such effects using distortions in the spec-  
7 trum of high-energy atmospheric neutrinos. For maximal mixing and six years of simulated  
8 data, the preliminary sensitivity of AMANDA-II to such VLI effects is  $\delta c/c < 2.1 \times 10^{-27}$   
9 at the 90% confidence level.

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## 10 1. Introduction

11 Flavor oscillations in the neutrino sector provide an interesting method to test  
12 phenomenological models of physics beyond the Standard Model. While mass-  
13 induced oscillations of atmospheric neutrinos are on firm experimental footing [1–3],  
14 subdominant effects may yet be present. In particular, violation of Lorentz invari-  
15 ance (VLI) can result in oscillations at high energies and distort the atmospheric  
16 neutrino spectrum.

17 The AMANDA-II detector, a subdetector of the IceCube experiment, is an array  
18 of 677 optical modules buried in the ice at the geographic South Pole which detects  
19 the Čerenkov radiation from charged particles produced in neutrino interactions  
20 with matter [4]. In particular, muons produced in charged-current  $\nu_\mu$  and  $\bar{\nu}_\mu$  inter-  
21 actions deposit light in the detector with a track-like topology, allowing us to use  
22 directional reconstruction to reject the large background of down-going atmospheric  
23 muon events. After suitable quality selection criteria are applied, AMANDA-II ac-  
24 cumulates atmospheric neutrino candidates above 50 GeV at a rate of  $\approx 4$  per day  
25 [5]. While conventional oscillations are suppressed at these energies, VLI effects can  
26 be detected or constrained by their influence on the zenith angle distribution and  
27 energy-correlated observables.

## 28 2. Phenomenology

29 Various new physics scenarios can result in neutrino flavor mixing beyond con-  
30 ventional oscillations. We focus here on oscillations induced by differing maximally  
31 attainable velocities (MAVs) in the neutrino sector. MAV eigenstates can be dis-  
32 tinct from flavor eigenstates, resulting in oscillations characterized by the MAV  
33 difference  $\delta c/c = (c_1 - c_2)/c$ .

34 Conventional and VLI oscillations can be combined in a two-family scenario, with  
 35 the following survival muon neutrino survival probability as a function of energy  $E$   
 36 and baseline  $L$  (in energy units) [6–8]:

$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\Theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \mathcal{R} \right), \quad (1)$$

37 where

$$\sin^2 2\Theta = \frac{1}{\mathcal{R}^2} (\sin^2 2\theta + R^2 \sin^2 2\xi + 2R \sin 2\theta \sin 2\xi \cos \eta), \quad (2)$$

$$\mathcal{R} = \sqrt{1 + R^2 + 2R(\cos 2\theta \cos 2\xi + \sin 2\theta \sin 2\xi \cos \eta)}, \quad (3)$$

and

$$R = \frac{\delta c}{c} \frac{E}{2} \frac{4E}{\Delta m^2}. \quad (4)$$

38 Standard oscillations are characterized by the mass-squared difference  $\Delta m^2$  and  
 39 mixing angle  $\theta$ , while the VLI oscillation parameters include the velocity difference  
 40  $\delta c/c$ , the mixing angle  $\xi$ , and the phase  $\eta$ . If we take both conventional and VLI  
 41 mixing to be maximal ( $\theta = \xi = \pi/4$ ) and set  $\cos \eta = 1$ , this reduces to the following:

$$P_{\nu_\mu \rightarrow \nu_\mu}(\text{maximal}) = 1 - \sin^2 \left( \frac{\Delta m^2 L}{4E} + \frac{\delta c}{c} \frac{LE}{2} \right). \quad (5)$$

42 Note the different energy dependence of the two effects. For atmospheric neu-  
 43 trinos, the zenith angle functions as a surrogate for the baseline  $L$ , allowing path  
 44 lengths up to the diameter of the Earth. Figure 1 shows the survival probability as  
 45 a function of neutrino energy and zenith angle for the maximal case, as in equation  
 46 5.

### 47 3. Analysis Methodology

48 In general, to test the values of some parameters  $\theta_i$  of our hypothesis (in the  
 49 simplest one-dimensional case, just  $\delta c/c$ ) that are allowed or excluded at some  
 50 confidence level, we follow the likelihood prescription described by Feldman and  
 51 Cousins [9]:

- 52
- 53 – For each point in the parameter space  $\theta_i$ , we sample many times from the parent  
 54 Monte Carlo distributions of the observable(s) (MC “experiments”).
  - 55
  - 56 – For each MC experiment, we calculate the likelihood ratio  $\Delta\mathcal{L} = \mathcal{L}_i - \mathcal{L}_{i,best}$ ,  
 57 where  $\mathcal{L}_i$  is the Poisson log-likelihood that the MC experiment is derived from  
 58 the parent distribution at  $\theta_i$  (other likelihood formulations are possible).
  - 59
  - 60 – For each point  $\theta_i$ , we find the value  $\Delta\mathcal{L}_{crit}$  at which, say, 90% of MC experiments  
 61 have a lower  $\Delta\mathcal{L}$ .
  - 62
  - 63 – Finally, we compare the  $\Delta\mathcal{L}$  of the data (or in our case, a simulated data set gen-  
 64 erated under the null hypothesis) with the critical surface  $\Delta\mathcal{L}_{crit}$ , and regions of  
 65 the parameter space at which  $\Delta\mathcal{L} > \Delta\mathcal{L}_{crit}$  are excluded at that confidence level.  
 66 For a one-dimensional parameter space, this can likely be interpreted an upper  
 67 limit, and one can calculate a median sensitivity by iterating over a number of

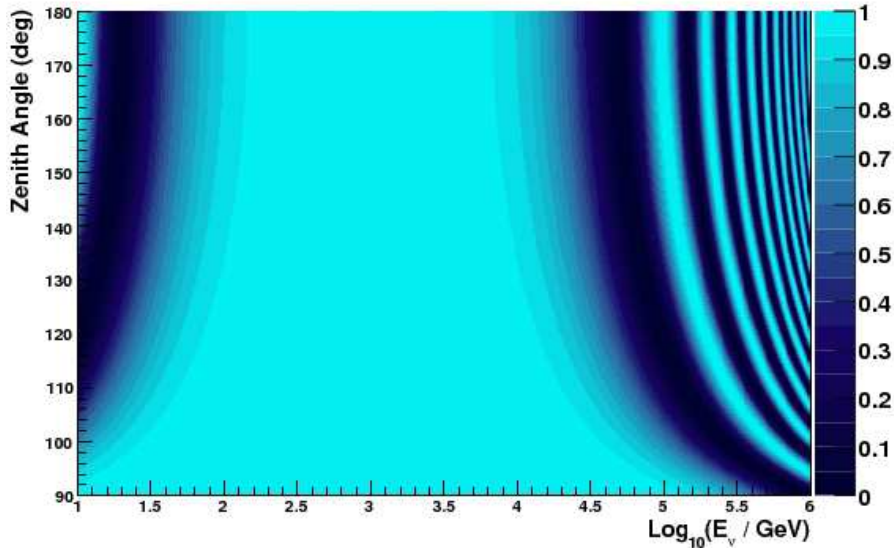


Fig. 1. Atmospheric  $\nu_\mu$  survival probability as function of neutrino energy and zenith angle. Conventional oscillations are present at low energies, while high-energy oscillations are due to VLI (maximal mixing,  $\delta c/c = 10^{-27}$ ).

68 simulated data sets.

69

70 With perfect angular resolution and energy reconstruction, we would simply be  
 71 able to map out the survival probability shown in Figure 1: even after folding in  
 72 the detector response, the minima are obvious. While AMANDA-II has an angular  
 73 resolution of a few degrees [10], reconstruction of the neutrino energy is more dif-  
 74 ficult and fundamentally limited by the stochastic losses of the muon. Instead, we  
 75 use a well-simulated energy-correlated observable, the number of triggered optical  
 76 modules ( $N_{ch}$ ).

77 To obtain a clean sample of atmospheric neutrinos, we must separate these from  
 78 the large background of atmospheric muons. Selecting events with a reconstructed  
 79 zenith angle below the horizon allows rejection of many such events, but we must  
 80 generally apply further quality criteria to eliminate mis-reconstructed muons. For  
 81 this study, we have used the selection criteria from the 2000-03 AMANDA-II point  
 82 source search [5] and examine only zenith angles  $> 100^\circ$ .

#### 83 4. Sensitivity of AMANDA-II

84 We have performed a Monte Carlo study using six years of simulated AMANDA-  
 85 II data: an integrated exposure of 1200 days, approximately 5100 events below the  
 86 horizon under the null hypothesis (conventional oscillations only). For this initial  
 87 study, we have tested only the  $N_{ch}$  distribution across a one-dimensional parame-  
 88 ter space, varying the VLI strength  $\delta c/c$ . To anticipate the impact of the inclusion  
 89 of systematic errors in the future, we have left free the normalization of the at-  
 90 mospheric neutrino flux (*i.e.* treating it as a nuisance parameter). The curves of  
 91  $\Delta\mathcal{L}_{crit}$  for the 90%, 95%, and 99% confidence levels are shown in Figure 2, along

92 with the likelihood ratio for a single simulated data set.

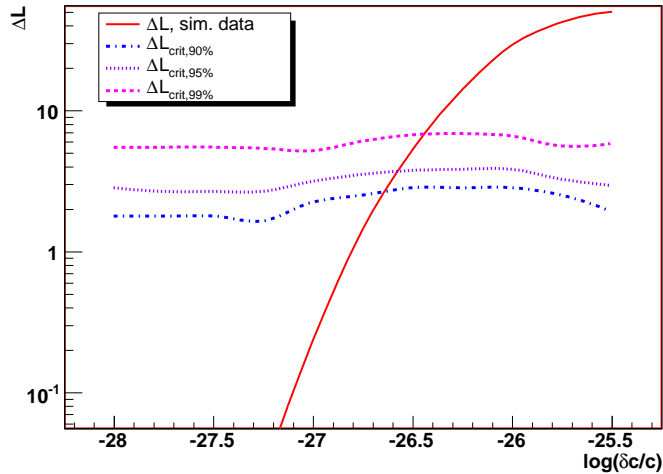


Fig. 2. Likelihood ratio for VLI effects using the shape of the  $N_{ch}$  distribution, for values of the parameter  $\delta c/c$ . The critical curves for various confidence levels are shown, along with  $\Delta\mathcal{L}$  for a simulated six-year data set. Values of  $\delta c/c$  to the right of the point of intersection with the critical curve are excluded.

93 Assuming maximal mixing ( $\sin 2\xi = 1$ ) and phase  $\cos \eta = 1$ , we find a median  
 94 sensitivity of  $\delta c/c < 2.1 \times 10^{-27}$  at the 90% confidence level. Existing experimental  
 95 limits include the MACRO result of  $\delta c/c < 2.5 \times 10^{-26}$  [11] and the limit by  
 96 González-García and Maltoni using the Super-Kamiokande + K2K data,  $\delta c/c <$   
 97  $2.0 \times 10^{-27}$  [8].

## 98 5. Conclusions and Outlook

99 Using its large sample of atmospheric neutrinos, AMANDA-II is capable of de-  
 100 tecting or constraining high-energy new physics effects in the neutrino sector. The  
 101 Monte Carlo study presented here indicates a sensitivity to VLI effects competi-  
 102 tive with existing limits, and a number of improvements (such as testing multiple  
 103 observables) and optimizations (*e.g.* event selection criteria, and the binning of  
 104 the observables) are forthcoming. We anticipate applying this analysis in the near  
 105 future to the AMANDA-II data collected from 2000-2005.

106 Furthermore, the same methodology can also be applied to constrain other physics  
 107 beyond the Standard Model, such as violations of the equivalence principle [13], or  
 108 quantum decoherence resulting from interactions of neutrinos with the background  
 109 space-time foam [14,15].

110 The next-generation IceCube detector, with an instrumented volume of  $1 \text{ km}^3$ ,  
 111 will provide unprecedented sensitivity to these same effects. In 10 years of operation,  
 112 IceCube will collect a sample of more than 700 thousand atmospheric neutrinos  
 113 above 100 GeV and will be sensitive at the 90% confidence level to VLI effects at  
 114 the level of  $\delta c/c < 2.0 \times 10^{-28}$  [12]. This high-statistics sample will also provide an  
 115 opportunity to test other phenomenological models of physics beyond the Standard  
 116 Model.

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